



## **Financial Services Guide**

**Equity Analyst Pty Ltd**

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## Financial Services Guide (FSG)

### Equity Analyst Pty Ltd

This Financial Services Guide (“FSG”) is issued by Equity Analyst Pty Ltd.

ACN No 643 403 285 and AFSL No. 534455

In this FSG, Equity Analyst Pty Ltd will be referred to as we, our, ours, us.

This guide covers:

- How to contact and who is Equity Analyst Pty Ltd
- The services and products we are authorised to provide
- Remuneration
- Our internal dispute resolution procedures and where to find/access them
- Insurance Cover

Equity Analyst is:

An Australian Financial Services License (AFSL) holder registered with the Australian Securities and Investment Commission (ASIC). However, We do not directly provide any financial services. Our retail website can be found at [www.marketalertpro.com](http://www.marketalertpro.com) where you will find financial services available by subscription.

We are authorised to provide General Financial Advice for the following classes of financial products:

Deposit and payment products limited to basic deposit products.

- Securities.
- Deal in a financial product by:
- Applying for, acquiring, varying, or disposing of a financial product on behalf of another person in respect of securities,

to retail and wholesale clients.

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If you Need to Contact us:

- Visit our website <https://www.eganalyst.com> or [www.marketalertpro.com](http://www.marketalertpro.com)
- Email us at [steve@eganalyst.com](mailto:steve@eganalyst.com)
- Registered office and contact: Mr Steve Carlsson, 12 Winsham Rd, Karrinyup, Western Australia, 6018

### Payments and Remuneration

Equity Analyst Pty Ltd receives fees from Market Alert Pro Pty Ltd which is wholly owned and directed by the directors of Equity Analyst Pty Ltd.

Equity Analyst Pty Ltd may receive commissions from its associate businesses in relation to Corporate Authorised Representative activities.

Our personnel, including its directors, may receive a salary based on responsibilities and duties.

### “General Advice” only warning

1. Please note that we will only provide general financial product advice to you. This means that our advice will not take account of your particular objectives, financial situation and needs and we will not provide a statement of advice to you. We do not provide personal or tailored financial advice.

In particular, we will not:

- (1) provide advice about whether you should buy or sell a financial product (such as a share) that takes account of your objectives, financial situation or needs;
- (2) provide any advice about the volume of a financial product (such as a share) that you should buy or sell; or
- (3) provide advice about any aspect of the management of a portfolio of assets held by you. For example, as to whether it would be prudent for you to sell a particular asset that forms part of your portfolio or whether it would be prudent to alter the allocation of assets within your portfolio.
- (4) Tailor any advice to you or your financial situation.

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- (5) Our advice is not an invitation or recommendation to buy or sell any financial product.

### Complaints Resolution Process

Equity Analyst Pty Ltd is committed to resolving complaints in the shortest and most effective manner. We invite and encourage the discussion of any issues about us or our representatives service delivery or products. We will endeavor to answer your enquiry quickly, politely and directly.

Comments and complaints can be made via [steve@eqanalyst.com](mailto:steve@eqanalyst.com) or via the contact box at [www.eqanalyst.com](http://www.eqanalyst.com). To allow for time zone differences and workload, please allow 72 hours for an initial response.

If you are not satisfied with our response, you may choose to contact AFCA, the Australian Financial Complaints Authority who will assess your concerns. However, we will work hard to ensure your issue is resolved quickly and efficiently with us in the first instance.

Australian Financial Complaints Authority (AFCA)  
T: 1800931678  
W: [www.afca.org.au](http://www.afca.org.au)  
E: [info@afca.org.au](mailto:info@afca.org.au)

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### Insurance

Equity Analyst Pty Ltd is subject to the terms and conditions of Professional Indemnity Insurance under AFSL licensee 534455. Equity Analyst holds a current Professional Indemnity policy as required by ASIC.